

#5

jc997 U.S. PTO  
09/963578



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Masanori WATANUKI )  
Serial No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
Filed: September 27, 2001 ) Examiner: Not Yet Assigned

For: MUSIC REPRODUCTION APPARATUS, AUDIO PLAYER, AND  
HEADPHONE

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the Examiner's attention the document listed on attached Form PTO-1449. A copy of the listed document is attached. Applicant respectfully requests that the Examiner consider the document listed on attached Form PTO-1449 and indicate that it was considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the listed document as prior art against any claim in the application and applicant determines that the cited document does not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such document.

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000


Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should it be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Richard V. Burgujian  
Reg. No. 31,744

Dated: September 27, 2001  
Enclosures  
RVB/FPD/sci

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

1997 U.S. PTO  
09/27/01  
09/27/01

Atty. Docket No. 04329.2682		Serial No. Not Yet Assigned	
Applicant <b>Masanori WATANUKI</b>			
Filing Date September 27, 2001		Group Not Yet Assigned	
<b>U.S. PATENT DOCUMENTS</b>			
Examiner Initial*	Document Number	Date	Name
<b>FOREIGN PATENT DOCUMENTS</b>			
	Document Number	Date	Country
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	IZAWA, H. et al.; "Reproducing Apparatus", U.S. Serial No.: 09/761,695, Filed: January 18, 2001, Specification - 47 pages, and 12 sheets of drawings.		
Examiner	Date Considered		
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	